

01/04/02
jcs12 U.S. PTO

01-07-02 A.

Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 63428-063

First Inventor or Application Identifier Michael Filipiak

Title Dual Spherical Ball Clamp

Express Mail Label No. EL 747 232 655 US

1C971 U.S. PTO
01/04/02**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 10]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (*identical to computer copy*)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney
9. English Translation Document (*if applicable*)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Statement
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement(s) Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired
 - 13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 - 14. This application claims priority to U.S. Provisional No. 60/259,686 filed January 4, 2001
 - 15. Other: Certificate of Express Mail

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	026096 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Karin H. Butchko	Registration No. (Attorney/Agent)	45,864
Signature	<i>Karen Butchko</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$428.00)

Complete if Known

Application Number	Herewith
Filing Date	Herewith
First Named Inventor	Michael Filipiak
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	63428-063

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 50-1482</p> <p>Deposit Account Name Carlson, Gaskey & Olds</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties) 40.00</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3) (\$ 40.00)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge - late provisional filing fee or cover sheet	139	130	139	130 Non-English specification	147	2,520	147	2,520 For filing a request for ex parte reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	115	110	215	55 Extension for reply within first month	116	400	216	200 Extension for reply within second month	117	920	217	460 Extension for reply within third month	118	1,440	218	720 Extension for reply within fourth month	128	1,960	228	980 Extension for reply within fifth month	119	320	219	160 Notice of Appeal	120	320	220	160 Filing a brief in support of an appeal	121	280	221	140 Request for oral hearing	138	1,510	138	1,510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidable	141	1,280	241	640 Petition to revive - unintentional	142	1,280	242	640 Utility issue fee (or reissue)	143	460	243	230 Design issue fee	144	620	244	310 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Processing fee under 37 CFR 1.17(q)	126	180	126	180 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties) 40.00	146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	179	740	279	370 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Other fee (specify) _____				*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40.00)	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																		
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																																		
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																		
139	130	139	130 Non-English specification																																																																																																																																		
147	2,520	147	2,520 For filing a request for ex parte reexamination																																																																																																																																		
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																		
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																		
115	110	215	55 Extension for reply within first month																																																																																																																																		
116	400	216	200 Extension for reply within second month																																																																																																																																		
117	920	217	460 Extension for reply within third month																																																																																																																																		
118	1,440	218	720 Extension for reply within fourth month																																																																																																																																		
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																		
119	320	219	160 Notice of Appeal																																																																																																																																		
120	320	220	160 Filing a brief in support of an appeal																																																																																																																																		
121	280	221	140 Request for oral hearing																																																																																																																																		
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																		
140	110	240	55 Petition to revive - unavoidable																																																																																																																																		
141	1,280	241	640 Petition to revive - unintentional																																																																																																																																		
142	1,280	242	640 Utility issue fee (or reissue)																																																																																																																																		
143	460	243	230 Design issue fee																																																																																																																																		
144	620	244	310 Plant issue fee																																																																																																																																		
122	130	122	130 Petitions to the Commissioner																																																																																																																																		
123	50	123	50 Processing fee under 37 CFR 1.17(q)																																																																																																																																		
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																		
581	40	581	40 Recording each patent assignment per property (times number of properties) 40.00																																																																																																																																		
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																		
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																		
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																		
169	900	169	900 Request for expedited examination of a design application																																																																																																																																		
Other fee (specify) _____																																																																																																																																					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40.00)																																																																																																																																	
<p>2. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370 Utility filing fee 370.00</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165 Design filing fee</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255 Plant filing fee</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370 Reissue filing fee</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80 Provisional filing fee</td></tr> </tbody> </table> <p>SUBTOTAL (1) (\$ 370.00)</p>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	740	201	370 Utility filing fee 370.00	106	330	206	165 Design filing fee	107	510	207	255 Plant filing fee	108	740	208	370 Reissue filing fee	114	160	214	80 Provisional filing fee	<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>22</td><td>-20** =</td><td>2.00</td><td>x 9.00 = 18.00</td></tr> <tr><td>Independent Claims</td><td>3.00</td><td>- 3** =</td><td>x _____ = _____</td></tr> <tr><td>Multiple Dependent</td><td></td><td></td><td>= _____</td></tr> </tbody> </table> <p>SUBTOTAL (2) (\$ 18.00)</p>				Total Claims	Extra Claims	Fee from below	Fee Paid	22	-20** =	2.00	x 9.00 = 18.00	Independent Claims	3.00	- 3** =	x _____ = _____	Multiple Dependent			= _____																																																																																						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																		
101	740	201	370 Utility filing fee 370.00																																																																																																																																		
106	330	206	165 Design filing fee																																																																																																																																		
107	510	207	255 Plant filing fee																																																																																																																																		
108	740	208	370 Reissue filing fee																																																																																																																																		
114	160	214	80 Provisional filing fee																																																																																																																																		
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																		
22	-20** =	2.00	x 9.00 = 18.00																																																																																																																																		
Independent Claims	3.00	- 3** =	x _____ = _____																																																																																																																																		
Multiple Dependent			= _____																																																																																																																																		

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Karin H. Butchko	Registration No. (Attorney/Agent)	45,864	Telephone 248 988-8360
Signature	<i>Karin Butchko</i>			Date January 4, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Filipiak, et al.
Serial No.: Unknown
Filed: Herewith
Priority: U.S. Provisional application 60/259,686 Filed: 01/04/2001
Title: DUAL SPHERICAL BALL CLAMP

EXPRESS MAIL CERTIFICATE

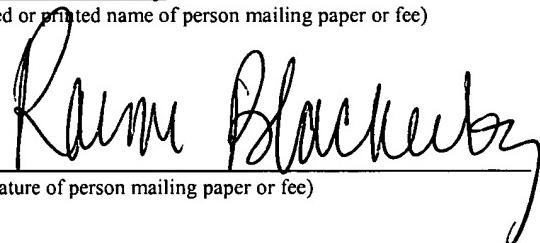
"Express Mail" Label Number: **EL 747 232 655 US**

Date of Deposit: January 4, 2002

I hereby certify that the attached documents or fees are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to "Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231".

Raimi Blackerby
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



20032002 010400